#### A little quantum mechanics.

These notes are not a substitute for reading the book and working problems.

#### What is light?

1) Maxwell showed that light (visible, x-rays, radio, gamma, etc.) obeyed the wave equation;  $\frac{\partial^2 E(x,t)}{\partial x^2} = \frac{1}{\sqrt{\mu\varepsilon}} \frac{\partial^2 E(x,t)}{\partial t^2}$  where E(x,t) represents the electric (or magnetic)

field.

• Experimentally we know light is a wave because it demonstrates diffraction, dispersion, interference, resolution which depends on wavelength; all the stuff that waves do.

2) However Einstein showed that, in order to explain the photo-electric effect, light has to sometimes behave like a particle, called the photon, with energy E = hf where is the frequency and is Planck's constant. The photoelectric effect says photons can knock electrons loose and cause a current flow  $hf = KE_{max} + \phi$  where  $\phi$  is the energy needed to free the electron.

• The Compton effect and the ultraviolet catastrophe (problems from trying to calculate blackbody radiation using classical physics) are further experimental evidence that light is a particle (see text for details).

3) So light is not classical: It behaves like a wave (diffraction, etc.) but arrives in lumps (as photons). Which effect you measure depends on the experiment.

# What are electrons?

1) Some of the time electrons behave like particles. For example in a CRT (old style television tube) the electrons act like particle- they are accelerated by a potential and hit the screen like a particle.

2) Davidson and Germer, however, showed that electrons reflecting off a crystal act as if they are waves. Electron diffraction is now used as a research tool in chemistry and physics (see text for details).

3) de Broglie postulated a wavelength for the electron:  $\lambda = h/p$  where p = mv is momentum. Schrodinger came up with a wave equation for electrons. It is not the same as the wave equations for photons because electrons have mass, do not travel at  $3 \times 10^8 m/s$  and interact with electric and magnetic fields (photons do not have mass, do travel at the speed of light and do not interact with other electric or magnetic fields).

# Wave equation for electrons (Schrodinger's equation).

The one dimensional version (meaning the electron can only move along the x-axis) of Schrodinger's equation is

$$\frac{-\hbar^2}{2m}\frac{\partial^2\Psi(x,t)}{\partial x^2} + V(x)\Psi(x,t) = i\hbar\frac{\partial\Psi(x,t)}{\partial t}$$

where  $\hbar = h/2\pi$ , is the electron mass,  $i = \sqrt{-1}$ , and V(x) is the electric potential that the electron feels (for the three dimensional case of an electron trapped in a hydrogen atom

V(x) is the coulomb potential of the nucleus  $V(x) = \frac{-kq}{2}$ .

- The equation for electrons is different than for electromagnetic waves because electrons have mass and react to electrical potentials (photons do not).
- Generic solutions are  $\Psi(x,t) = Ae^{i(kx-\omega t)}$ . Since the solutions are imaginary they can be written as  $\Psi(x,t) = \Psi_{\text{Re}}(x,t) + i\Psi_{\text{Im}}(x,t)$  or  $\Psi(x,t) = A(x,t)e^{i\theta(x,t)}$  where

$$\theta(x,t) = \tan^{-1} \frac{\Psi_{\text{Im}}}{\Psi_{\text{Re}}}$$
 is called the phase.

- Direct substitution of the generic solution into Schrodinger's equation shows that  $E = \frac{p^2}{2m} + V$  where is momentum. This shows energy of the electron equals

kinetic energy plus potential energy which we already know classically. To get this we have to have E = hf for the energy of the electron, just as it was for the photon.

For 'static' cases where the electron is going to basically stay put (analogous to ٠ static mechanics or static electricity where nothing moves) the time independent

Schrodinger's equation becomes  $\frac{-\hbar^2}{2m}\frac{\partial^2\Psi(x)}{\partial x^2} + V(x)\Psi(x) = E\Psi(x)$ 

# What does the electron wave function, $\Psi(x,t)$ tell us?

Everything! Everything that can be known about the electron. But first note that  $\Psi(x,t)$ is imaginary so we always have to multiply by the complex conjugate to get a real number (we can only measure real quantities). For example for an imaginary number  $\kappa = A + Bi$  we have  $\kappa^* = A - Bi$  and  $\kappa^* \kappa = A^2 + B^2$  which is real.

- $\Psi^*(x,t)\Psi(x,t)dx$  is the probability of finding the electron in the region dx. (This is kind of like the diffraction pattern for light- for light the pattern tells us where photons will land, for electrons it tells us where the most likely place to find the electron is).
- $\int_{-\infty}^{\infty} \Psi^*(x,t)\Psi(x,t)dx = 1$ , in other words, there is a 100% chance of finding the electron somewhere.
- The expected value of any quantity can be found by integrating. So the expected location (kind of like the average location) is  $\langle x \rangle = \int_{-\infty}^{\infty} \Psi^*(x,t) x \Psi(x,t) dx$ .

There are expectation values for momentum, energy, etc.

# How about a simple example?

Imagine we throw an electron into a one dimensional box that is infinitely strong and let it settle down (time independent or so called stationary states). (Yes this is a 'toy' modelit doesn't exist in nature but you have to start somewhere.)

• So we want to solve Schrödinger's time independent equation for zero potential between the locations x = 0 and x = L which is  $\frac{-\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} + V(x)\psi(x) = E\psi(x)$ .

(Note: Stationary states are analogous to standing waves on a string; the equation for a standing wave is  $A\sin(kx)\cos(\omega t)$  where the shape is given by the sine function but the shape changes over time; a point which is often ignored at looking at the first few modes of a guitar string.)

- Outside of x = 0 and x = L the wave function doesn't exist (the electron can't go there).
- Generic solutions look like  $\psi(x) = A \sin kx + B \cos kx$  (or exponentials or .... But don't worry about those for now).
- But there are conditions (boundary conditions): We must have  $\psi(x = 0) = 0$  and  $\psi(x = L) = 0$ . Why? So the probability drops off to zero smoothly at the boundaries (probabilities are 'fuzzy' they can't end abruptly as a point). The first condition means B = 0 and the second means we have to have  $kx = n\pi$  where n = 1, 2, 3, ... so that  $\psi(x = L) = A \sin n\pi L = 0$ .
- Substitute  $\psi(x) = A \sin kx$  into Schrodinger's equation (take two derivatives with respect to x and use V = 0 from  $0 \le x \le L$  and we find out that, to be a solution, we have to have  $k = \frac{\sqrt{2mE}}{\hbar}$  (try it yourself- see if you get this).
- Combining the boundary condition  $kx = n\pi$  with  $k = \frac{\sqrt{2mE}}{\hbar}$  we find that  $E_n = \frac{\hbar^2 \pi^2 n^2}{2mL^2}$ . Energy is quantized (depends on the whole numbers =1, 2)

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. Energy is quantized (depends on the whole numbers =1, 2, 3...)!!!!

- Moral of the story: forcing the wave to fit into a box forces the energy to become quantized. Free electrons don't have this problem and can have any energy. Bound electrons will always have quantized energies.
- Where is the electron? We don't know exactly. All we have is the probability of finding it in certain places (but not in others). For this problem
   ψ\*(x)ψ(x) = A<sup>2</sup> sin<sup>2</sup> kx and k = nπ/L. These are the 'orbitals' or 'electron clouds' that chemistry books draw for the hydrogen atom but in this case they are 'orbitals' for the one dimensional electron box problem.
- What about the amplitude, *A*? This can be determined using the normalization condition  $\int_{-\infty}^{\infty} \psi^*(x)\psi(x)dx = 1 = \int_0^L A^2 \sin^2(kx)dx$  where we only integrate from x = 0 to x = L. When you do this you find out  $A = \sqrt{\frac{2}{L}}$ . (Try it!).
- The 'recipe' for solving a quantum problem:
  - Write the appropriate Schrodinger equation.
  - Write the generic solutions.
  - Apply boundary conditions.
  - Substitute solution into equation to determine other constants.
  - Normalize to get coefficients.

#### What about the uncertainty principle?

We have to describe electrons as waves, right? Right, experiments say so!

- For a free electron Schrödinger's equation has the solution  $\psi(x) = A \sin kx$  but now there are no restrictions on k, no boundaries so no quantized energy.
- But where is the electron? Any where! (Well, anywhere in one dimension- we are still talking about a one dimensional case.)
- What if we want to localize the electron? Well, Fourier said we can get any wave shape we want by adding together sine waves. So let's add a bunch of sine waves together to get a *wave packet*: a shape that is localize in one place.
- Wait! Let's do a simple case first. Add  $A\sin(k_1x \omega_1t)$  to  $A\sin(k_2x \omega_2t)$ . Go on, do it!
- You should have gotten 2A cos(<sup>1</sup>/<sub>2</sub>Δkx <sup>1</sup>/<sub>2</sub>Δωt)sin(k<sub>ave</sub>x ω<sub>ave</sub>t) where Δk = k<sub>1</sub> k<sub>2</sub>
   , Δω = ω<sub>1</sub> ω<sub>2</sub>and k<sub>ave</sub> = (k<sub>1</sub> + k<sub>2</sub>)/2, ω<sub>ave</sub> = (ω<sub>1</sub> + ω<sub>2</sub>)/2. Sketch a picture of this. It is an envelope of a slowly changing cosine with a more rapidly changing sine wave inside. One way to look at it is a series of packets of waves.
- At t = 0 the cosine part is zero if  $\frac{1}{2}\Delta kx = n\pi$ . So two successive locations where cosine is zero is  $\frac{1}{2}\Delta kx_1 = \pi$  and  $\frac{1}{2}\Delta kx_2 = 2\pi$ . Subtracting these gives  $\frac{1}{2}\Delta k\Delta x = \pi$ . But wait, de Broglie said  $k = 2\pi/\lambda$  and  $p = h/\lambda$  for electrons. So  $\frac{1}{2}\Delta k\Delta x = \Delta p\Delta x = h$ , which is basically the uncertainty principle (don't worry about the missing factors of  $\pi$  we'll eat that pie later).
- But what does the uncertainty principle mean? If one of the packets represents the electron we see that the range of possible locations of that electron is between  $x_1$  and  $x_2$ ; in other words the uncertainty of location of the electron is  $\Delta x$ . Because a wave packet is of finite length we have a range of possible locations of the electron.
- What if we tried to add more waves to get the packet smaller? This is going to require adding more different values of k so the  $\Delta k$  will have to get larger. Since k is related to momentum then the uncertainty in moment,  $\Delta p$  has to get larger.
- When we add an infinite number of waves we find that  $\Delta x \Delta p \ge \hbar/2$ .
- If we go back and set x = 0 and do with frequency what we did with k we get  $\Delta E \Delta t \ge \hbar/2$  since frequency is related to energy.
- The uncertainty principle is a direct consequence of describing the electron as a wave: you can't locate a wave at single point.

Is any of this stuff 'real'? YES!!!! Computer circuitry, electron microscopes, tunneling microscopes, nuclear fission, etc. cannot be explained without using quantum mechanics.